

22783 U.S. PTO  
012104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT**

ATTY DOCKET NO.: 5405.280

DATE: January 21, 2004

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

31355 U.S. PTO  
10/761530

012104

Mail Stop Sequence Listing  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☒ which claims the benefit of Provisional Application No. 60/441,789, filed January 22, 2003.

Inventor(s) or Application Identifier:

Name: Dwight D. Koeberl, 606 East Forest Hills Blvd, Durham, North Carolina 27707

Name: Baodong Sun, 129 Walton's Creek Rd., Morrisville, North Carolina 27560

Entitled: ***Improved Constructs for Expressing Lysosomal Polypeptides***

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Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 93 Pages of Specification (including 72 claims)
3. ☒ 20 sheet of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*)) [Note Box 6 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (*Appendix*)
8. ☐ Assignment papers (*cover sheet(s) and document(s)*) filed in parent application
9. ☐ Applicant claims small entity status. See 37 CFR 1.27.


10. ☐ Information Disclosure Statement, PTO-1449, and 0 references cited  
11. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)  
12. ☐ English Translation Document  
13. ☐ Certified Copy of Application No.  
14. ☒ Sequence Listing/ Sequence Listing Diskette  
    a. ☒ computer readable copy  
    b. ☒ paper copy  
    c. ☒ statement in support  
15. ☐ An Associate Power of Attorney  
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)  
17. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$385.00	\$770.00
TOTAL CLAIMS	72 - 20 =	52	x 09 = \$	x 18 = \$936.00
INDEP CLAIMS	3 - 3 =	0	x 42 = \$	x 84 = \$0.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total \$1706.00

- ☒ A check in the amount of **\$1706.00** to cover the filing fee is enclosed.  
☐ A check in the amount of \$      is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of **\$0.00**.  
☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
    a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
    b. ☒ Additional patent application processing fees under 37 CFR 1.17.

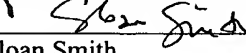
Respectfully submitted,

  
Karen A. Magri  
Registration No. 41,965

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing label number: EV381447956US Date of Deposit: January 21, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Sequence Listing, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Sloan Smith